The Investment Company Service Directory

ELECTRONIC EDITION **RESERVATION FORM**

We encourage you to complete and then submit this Reservation Form by using the "Submit by Email" button at the end of the form. Or you may complete and print this form and fax it to 202/326-5853.

If you have any questions or require assistance with the submission process, please contact Judy Lee at servicedirectory@ici.org or 202/218-3575.

1 INDICATE LISTING COORDINATOR

Indicate the name, address, telephone number, and email address of the person completing the reservation form. ICI will contact this person with any questions regarding your listings or advertisements. The person's name **will not** appear in the *Directory* unless you indicate in the Contact Information Section of this form that it should.

Name of person completing form: ______________________

Mailing address: ______________________

City/State/Zip: ______________________

Area code/Telephone number: ______________________ Area code/Fax number: ______________________

Email address: ______________________

2 PROVIDE LISTING CONTACT INFORMATION

Fill out the listing information as it should appear in the *Directory* for each category selected. Please list only **one office and one contact person** per category. If you would like more than one contact person or office listed, you will be charged an **additional $150** per person or office. Please submit the information (name, title, address, telephone number, email address, etc.) for multiple contacts in a separate document.

Company name: ______________________

Mailing address (one per listing): ______________________

City/State/Zip: ______________________

Area code/Telephone number (two per listing): ______________________

Area code/Fax number (one per listing): ______________________

Email address (one per listing): ______________________

Website address (one per listing): ______________________

Contact person name (one per listing): ______________________

Title of contact person: ______________________

③ SUBMIT COMPANY AND PRODUCT/SERVICE DESCRIPTION

Please provide a description of the organization being listed and/or the product or service the firm provides. THE MAXIMUM LENGTH OF EACH $150 LISTING DESCRIPTION IS 100 WORDS. The 100-word limit does not include the contact information you provided in Step 2. Listings submitted in all capital letters or italics will appear in the *Directory* with only initial capitalization and no italics. **Your listing description should be submitted as a separate email attachment in Word format.**

④ PROVIDE YOUR COMPANY LOGO—NO ADDITIONAL CHARGE

You may submit your black-and-white logo to appear with your listing(s) in the 2012 *Directory*. Your logo should be in a separate file from your description. Your logo must meet the following criteria, and please remember to convert all fonts to outline before sending.

- 2″ wide by 1″ tall (max)
- 300 dpi (min)
- Black and white only
- Files accepted: JPG or TIFF

☐ Please check here if you would like us to use the same logo that appeared in the 2011 *Directory*.

⑤ SELECT LISTING AND HYPERLINK CATEGORIES

Indicate the category or categories under which your organization should be listed by putting an X in the appropriate box(es) in the "Listing" (L) column. There is a charge of $150 for each category listed.

Put an X in the "Hyperlink" (H) column to indicate the listings in the electronic version of the *Directory* for which you would like to have a live link to your website. The cost of each hyperlink is $100.

If you would like your firm to appear in a category or subcategory that is not on the list, please indicate the category or subcategory here:

____

Total number of online listings: __________ @ $150* = $ ____________

Total number of hyperlinks: __________ @ $100 = $ ____________

TOTAL: **$** ____________

**Price is $150 per 100-word listing. Listings of 101–200 words cost $300; listings of 201–300 words cost $450.*

CATEGORIES AND SUBCATEGORIES

L	H	
☐	☐	**Abandoned Property Compliance and Processing**
		Accounting, Auditing, and Administration
☐	☐	Accounting and Auditing Firms
☐	☐	Fund Accounting
☐	☐	Performance Measurement, Analysis, and Attribution
☐	☐	Tax Services
☐	☐	**Alternative Investment Product Services**
☐	☐	**Anti–Money Laundering Services**
☐	☐	**Automated Publishing Solutions**
☐	☐	**Back-Office Services and Systems**
☐	☐	**Bank/Broker Subaccounting—Mutual Funds**
☐	☐	**Bank/Financial Services**
☐	☐	**Bank Mutual Funds**
☐	☐	Banks and Mutual Funds
☐	☐	Proprietary Mutual Fund Distribution and Administration
☐	☐	**Benchmarking and Quality Measurement**
☐	☐	**Benchmarking Services**
☐	☐	**Blue Sky Services**
☐	☐	**Broker-Dealer Services**

L H

- ☐ ☐ **CCO and SOX Certifying Officers**
- ☐ ☐ **Clearing, Settlement, and Information Systems**
- ☐ ☐ **Client Experience**
- ☐ ☐ **Closed-End Fund Information**
- ☐ ☐ **Compensation Management**
- **Compliance**
  - ☐ ☐ Advertising Review Compliance
  - ☐ ☐ Compliance
  - ☐ ☐ Fund Compliance and Administrative Services
  - ☐ ☐ Fund Legal Administration and Regulatory Compliance
  - ☐ ☐ Mutual Fund Compliance
- ☐ ☐ **Computer Operations and Communications**
- **Computer Systems and Services**
  - ☐ ☐ Accounting Systems
  - ☐ ☐ Computer Systems and Services
  - ☐ ☐ Investment Accounting/Portfolio Management Systems
  - ☐ ☐ Performance Reporting
  - ☐ ☐ Transfer Agent and Shareholder Accounting Systems
- **Consulting Services**
  - ☐ ☐ Business Process Evaluation
  - ☐ ☐ Consulting Services
  - ☐ ☐ Consulting Services—Closed-End Funds
  - ☐ ☐ Distribution, Marketing, and Sales Support Consulting
  - ☐ ☐ Management Consulting
  - ☐ ☐ Operations and Automation Consulting
- ☐ ☐ **Cost Basis Reporting**
- **Custody, Settlement, and Cash Management**
  - ☐ ☐ Custody, Settlement, and Cash Management
  - ☐ ☐ Custody, Settlement, and Cash Management—Global
  - ☐ ☐ Global Custodian
- ☐ ☐ **Customer Relationship Management**
- ☐ ☐ **Customer Service/Workflow Automation**
- ☐ ☐ **Daily Valuation Processing**
- ☐ ☐ **Data Management**
- ☐ ☐ **Directors' Information**
- ☐ ☐ **Document Automation**
- ☐ ☐ **EDGAR Services**
- ☐ ☐ **Electronic Document Delivery**
- ☐ ☐ **E-Wholesaling**
- ☐ ☐ **Exchange-Traded Funds**
- ☐ ☐ **Executive Search**
- ☐ ☐ **Financial and Legal Publications and Subscriptions**
- ☐ ☐ **Financial Planning**

L H

- ☐ ☐ **529 College Savings Plan Support and Servicing**
- ☐ ☐ **Fund Ratings**
- ☐ ☐ **GIPS Verification and Performance Measurement**
- ☐ ☐ **Health Savings Account Services**
- **Hedge Fund Services**
  - ☐ ☐ Hedge Fund Administration and Private Equity Fund Services
  - ☐ ☐ Hedge Fund Compliance
  - ☐ ☐ Hedge Fund Services
- ☐ ☐ **Imaging**
- ☐ ☐ **Index Publishers**
- ☐ ☐ **Information Technology Advisory**
- ☐ ☐ **Insurance and Insurance Services**
- ☐ ☐ **Internet Services**
- ☐ ☐ **Investment Banking Services**
- ☐ ☐ **IRA Products and Services**
- **Legal Counsel**
  - ☐ ☐ Independent Director/Trustee Representation
  - ☐ ☐ Legal Counsel
  - ☐ ☐ Securities Litigation
- ☐ ☐ **Mailing and Fulfillment Services**
- ☐ ☐ **Managed Account Services**
- **Marketing and Marketing Communications**
  - ☐ ☐ Database Marketing
  - ☐ ☐ Electronic Marketing/Publishing
  - ☐ ☐ 401(k) Pensions/Retirement Marketing
  - ☐ ☐ Integrated Marketing Communications
  - ☐ ☐ Marketing and Marketing Communications
  - ☐ ☐ Marketing Communications
  - ☐ ☐ Marketing Communications Agencies
  - ☐ ☐ Newsletters—Outsourcing
  - ☐ ☐ Public Relations
  - ☐ ☐ Shareholder Communications—Outsourcing
  - ☐ ☐ Writing
- ☐ ☐ **Middle-Office Services**
- ☐ ☐ **Money Market Mutual Funds**
- ☐ ☐ **Money Market Stress Testing**
- ☐ ☐ **Money Market Sub-Advisory Services**
- ☐ ☐ **Mutual Fund and Shareholder Accounting Systems**
- ☐ ☐ **Mutual Fund Distribution and Administration**
- ☐ ☐ **Mutual Fund News**
- ☐ ☐ **Mutual Fund Wholesaling**
- ☐ ☐ **New Mutual Funds, Planning, and Registration**
- ☐ ☐ **New Product Development**
- ☐ ☐ **NSCC Trading Link and Software**

L H

Offshore and Onshore Fund Services

- ☐ ☐ Offshore and Onshore Fund Services
- ☐ ☐ Offshore Fund Administration and Recordkeeping
- ☐ ☐ Offshore Fund Services

☐ ☐ **Online Services and Electronic Commerce**

☐ ☐ **Operations Improvement**

☐ ☐ **Performance Measurement, Analysis, and Attribution**

☐ ☐ **Portfolio Management Systems**

Printing

- ☐ ☐ Check and Statement Printers
- ☐ ☐ Financial Printers
- ☐ ☐ Financial Printing
- ☐ ☐ Printing, Fulfillment, and Mailing

☐ ☐ **Private Equity and Real Estate Services**

☐ ☐ **Proxy Distribution and Voting**

☐ ☐ **Proxy Management, Limited Partnerships**

☐ ☐ **Proxy Solicitations and Processing**

☐ ☐ **Record Storage and Retrieval—Imaging Systems**

Registration Services for Broker-Dealers and Investment Advisers

- ☐ ☐ Registered Investment Adviser and Registered Representative Databases
- ☐ ☐ Registration Services for Broker-Dealers and Investment Advisers

Regulatory Compliance

- ☐ ☐ Municipal
- ☐ ☐ Regulatory Compliance

Research

- ☐ ☐ Competitive Research
- ☐ ☐ Marketing Research

Retirement/401(k) Plans

- ☐ ☐ IRA/Rollover Services

Retirement Plan Processing and Recordkeeping

- ☐ ☐ Daily Participant Recordkeeping/Accounting
- ☐ ☐ Retirement Plan Processing and Recordkeeping

☐ ☐ **Rights Offerings**

☐ ☐ **Risk Management**

☐ ☐ **Rollover Services**

☐ ☐ **Sales and Advertising Material Review**

☐ ☐ **Sales Tracking and Reporting**

☐ ☐ **Securities Data—Historical**

L H

☐ ☐ **Securities Lending**

☐ ☐ **Securities Pricing and Corporate Action Services**

☐ ☐ **Series Trust Services**

☐ ☐ **Shareholder Data Management**

Software

- ☐ ☐ Asset Allocation and Wrap Systems Software
- ☐ ☐ Compliance Software
- ☐ ☐ Defined Contribution Software
- ☐ ☐ Demand Print Solutions
- ☐ ☐ Expense Management
- ☐ ☐ Financial Reporting
- ☐ ☐ Investment Software for Investment Companies
- ☐ ☐ Market Timing Detection Software
- ☐ ☐ Performance, Measurement Analysis, and Attribution
- ☐ ☐ Sales and Marketing Software
- ☐ ☐ Shareholder Reporting Software
- ☐ ☐ Trade Order Management Software
- ☐ ☐ Trade Order Management Systems
- ☐ ☐ Transfer Agent and Shareholder Accounting Software

☐ ☐ **Statistics and Database Services**

☐ ☐ **Subaccounting**

☐ ☐ **Summary Prospectus**

☐ ☐ **Teleservicing (Inbound and Outbound)**

☐ ☐ **Temporary Project Support**

Training

- ☐ ☐ Media and Presentation Training
- ☐ ☐ Securities Industry Knowledge and Trends
- ☐ ☐ Training and Continuing Education

☐ ☐ **Transfer Agent and Shareholder Services**

☐ ☐ **Unclaimed Property**

☐ ☐ **Valuation**

Variable Annuity and Variable Life Information

- ☐ ☐ Variable Annuity
- ☐ ☐ Variable Annuity and Variable Life Information

☐ ☐ **Variable Annuity Printers**

☐ ☐ **Wholesaler Sales Tracking**

☐ ☐ **Workflow Processing**

☐ ☐ **XBRL**

☐ ☐ **XBRL-XML**

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